

**RECEIVED
CENTRAL FAX CENTER**

FEB 22 2007

COVER PAGE LISTING DOCUMENTS BEING TRANSMITTED VIA FACSIMILE

5 Pages Via Facsimile: 571-273-8300
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office (fax no. 571-273-8300) on February 22, 2007.

L. Drus
Lealeigh Drus

Regarding the following Application:

Applicant(S): SIEGEL, Steven et al. Examiner: Blessing M. Fubara

Serial No./ 10/046,504 Group Art Unit: 1618
Patent No.:

Filed/Issued Date: October 19, 2001 Attorney Docket No.: P-9565-US

Title: POLYMER-BASED SURGICALLY IMPLATABLE HALOPERIDOL
DELIVERY SYSTEMS AND METHODS FOR THEIR PRODUCTION
AND USE

Please find:

- | | |
|--|--|
| 1. <input type="checkbox"/> Provisional Cover Sheet | 9. <input type="checkbox"/> Response to Notice to File Missing Parts |
| 2. <input type="checkbox"/> Utility Patent Application Transmittal | 10. <input type="checkbox"/> Response to Notice of Incomplete Reply |
| 3. <input type="checkbox"/> RCE Transmittal Sheet | 11. <input type="checkbox"/> Request for Correction of Filing Receipt |
| 4. <input type="checkbox"/> Fee Transmittal Sheet | 12. <input type="checkbox"/> Information Disclosure Statement including: - Form PTO/SB/08 and references _____ |
| 5. <input type="checkbox"/> Patent Application Under 35 USC 111(a) | 13. <input type="checkbox"/> Preliminary Amendment |
| <input type="checkbox"/> Provisional Patent Application Under 35 USC 111(b) | 14. <input type="checkbox"/> Response to Office Action dated _____ |
| <input type="checkbox"/> Transmittal Sheet for Entering National Phase Containing: | 15. <input type="checkbox"/> Petition for a One Month(s) Extension of Time |
| _____ Pages of Specification | 16. <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Appeal Brief _____ |
| _____ Pages of Claims | 17. <input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Publication Fee |
| _____ Page of Abstract | 18. <input type="checkbox"/> Submission of Formal Drawings: Two sets of _____ Sheets containing Figs. _____ |
| _____ Pages of Formal Drawings | 19. <input type="checkbox"/> Copy of Priority Doc. |
| 6. <input type="checkbox"/> Signed Declaration & Power of Attorney | 20. <input type="checkbox"/> Claim for Convention Priority |
| 7. <input type="checkbox"/> Request for Correction of Recordation of Assign. and: - Recordation Cover Sheet | 21. <input checked="" type="checkbox"/> Revocation and Power of Attorney, including: - Statement Under 37 CFR 3.73(b) |
| - Copy of Notice of Recordation of Assign. | |
| 8. <input type="checkbox"/> Recordation of Assign. Cover Sheet & Signed Assign. | 22. <input checked="" type="checkbox"/> Other: <u>Communication to Change of Address of the Attorney of Record</u> |

Attorney Docket No.: P-9565-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**RECEIVED
CENTRAL FAX CENTER****FEB 22 2007**

APPLICANT(S): SIEGEL, Steven et al.

SERIAL NO. /

PATENT NO.: 10/046,504

EXAMINER:

Blessing M. Fubara

FILED / ISSUED: October 19, 2001

GROUP ART UNIT: 1618

FOR:

POLYMER-BASED SURGICALLY IMPLATABLE HALOPERIDOL DELIVERY
SYSTEMS AND METHODS FOR THEIR PRODUCTION AND USEPOWER OF ATTORNEY AND
REVOCATION OF PRIOR POWERS BY ASSIGNEECommissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

The University of Pennsylvania, the assignee of record of the entire right, title and interest in and to the above-identified Application, hereby revokes any and all prior Powers of Attorney;

and hereby appoints the following attorneys and/or agents with full Power of Substitution and Revocation to prosecute this Application, to make alterations and amendments therein, to receive the patent and to transact any and all business in or with the United States Patent and Trademark Office in connection therewith, provided that if any one of said attorneys or agents ceases being affiliated with the law firm of Pearl Cohen Zedek Latzer, LLP, as partner, employee or of counsel, such attorney's or agent's appointment as attorney or agent and all powers derived therefrom shall terminate on the date such attorney or agent ceases being so affiliated

| | |
|---------------------|-----------------|
| Mark S. Cohen | Reg. No. 42,425 |
| Caleb Pollack | Reg. No. 37,912 |
| Guy Yonay | Reg. No. 52,388 |
| Guy Levi | Reg. No. 55,376 |
| Rachel Teitelbaum | Reg. No. 56,708 |
| David A. Lowenstein | Reg. No. 35,591 |
| Robert D. Schaffer | Reg. No. 33,775 |
| Michael A. Yamin | Reg. No. 44,414 |
| Marc Tritel | Reg. No. 59,336 |
| Lee A. Goldberg | Reg. No. 38,894 |
| Cheryl J. Schindler | Reg. No. 59,848 |
| Marcy B. Wildes | Reg. No. 36,968 |

These attorneys and agents are associated with Customer Number 49443.

Attorney Docket No.: P-9565-US

Please send all correspondence and direct all telephone calls to:

Mark S. Cohen
Pearl Cohen Zedek Latzer, LLP
1500 Broadway, 12th Floor
New York, New York 10036
Tel: (646) 878-0800
Fax: (646) 878-0801
Customer Number: 49443

Attached to this paper is a STATEMENT UNDER 37 CFR 3.73(b).

Respectfully submitted.

Date: 2/21/2007

By Jennifer Langenberger

Name: Jennifer Langenberger

Title: Director, Intellectual Property

**RECEIVED
CENTRAL FAX CENTER**

FEB 22 2007

PTO/SB/96 (6-98)

Approved for use through 09/30/2000 OMB 0651-0031
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: **SIEGEL, Steven et al.**

Application No./Patent No.: **10/046,504**

Filed/Issue Date: **October 19, 2001**

Entitled: **POLYMER-BASED SURGICALLY IMPLATABLE HALOPERIDOL DELIVERY SYSTEMS AND METHODS FOR THEIR PRODUCTION AND USE**

University of Pennsylvania

, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

States that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From:

To:

The document was recorded in the Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached

2. From:

To:

The document was recorded in the Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached

3. From:

To:

The document was recorded in the Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

2/21/2007

Date

Jennifer Langenberger

Signature

Jennifer Langenberger

Typed or printed name

Director, Intellectual Property

Title

Burdens Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

RECEIVED
CENTRAL FAX CENTER
FEB 22 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): SIEGEL, Steven et al. EXAMINER: Blessing M. Fubara
SERIAL NO.: 10/046,504 GROUP ART UNIT: 1618
FILED: October 19, 2001 ATTORNEY DOCKET No.: P-9565-US
FOR: POLYMER-BASED SURGICALLY IMPLATABLE HALOPERIDOL
DELIVERY SYSTEMS AND METHODS FOR THEIR PRODUCTION
AND USE

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

COMMUNICATION FOR CHANGE OF ADDRESS
OF THE ATTORNEY OF RECORD

Dear Sir:

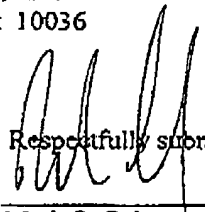
Please note that the address of Attorney of Record has changed from:

PENN-0789

To:

P-9565-US
Pearl Cohen Zedek Latzer, LLP
1500 Broadway, 12th Floor
New York, New York 10036
Tel: (646) 878-0800
Fax: (646) 878-0801

Respectfully submitted,


Mark S. Cohen
Attorney/Agent for Applicant(s)
Registration No. 42,425

Dated: February 7, 2007

Pearl Cohen Zedek Latzer, LLP
1500 Broadway, 12th Floor
New York, New York 10036
Tel: (646) 878-0800
Fax: (646) 878-0801